

ATTENTION ATTENTION ATTENTION

Method of Refund:

☐ ACH/EFT

☐ Credit Card

☒ Deposit Account # 50-3105

☐ Treasury Check

Patent/TM/App/Serial # 10 / 605,679

Program Area Publishing

Date Processed 8 / 12 / 2005

ATTENTION ATTENTION ATTENTION

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STATION

PATENT

JUL 12 PM 1:21

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yi-Nan Chen

Appl. No. : 10/605,679

Filing Date: 10/17/2003

Examiner : NHU, DAVID

Art Unit : 2818

Docket No.: NTCP0024USA

Title: METHOD OF FABRICATING A BOTTLE SHAPED DEEP
TRENCH FOR TRENCH CAPACITOR DRAM DEVICES

To : Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Subject : Request for refund of publication fee

Dear Sir ,

The issue fee and publication fee of the above identified application was paid on 12/20/2004 (~~Attachment 1~~). And the publication fee \$300 was deducted from the deposit account no. 50-3105 on 12/20/2004 (Attachment 1). But the above-identified application was issued as a patent on 01/25/2005 without publication process (Attachment 2).

02 FC:1504 300.00 CR

The applicant hereby requests to refund the publication fee \$300 to the Deposit Account No. 50-3105 pursuant to CFR 1.211(e) and MPEP 1126. Your quick response will be greatly appreciated.

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Sincerely yours,

Winston Hsu

Date: JUL 08 2005

Winston Hsu, Patent Agent No. 41,526

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Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

Deposit Account Statement

第3頁, 共7頁

12/15 15	10711951	TOCP0006USA	8021	\$40.00	\$20,366.00
12/15 37	10604245	KYCP0010USA	2251	-\$55.00	\$20,421.00
12/15 38	10604245	KYCP0010USA	1251	\$110.00	\$20,311.00
12/16 3	10248745	NAUP0510USA	1501	\$1,400.00	\$18,911.00
12/16 4	10249179	VIAP0079USA	1801	\$790.00	\$18,121.00
12/16 4	10248745	NAUP0510USA	1504	\$300.00	\$17,821.00
12/16 5	10249179	VIAP0079USA	1252	\$430.00	\$17,391.00
12/16 31	10905109	REAP0114USA	1001	\$790.00	\$16,601.00
12/16 32	10905109	REAP0114USA	8021	\$40.00	\$16,561.00
12/16 33	10905110	JEMP0004USA	2001	\$395.00	\$16,166.00
12/16 34	10905110	JEMP0004USA	8021	\$40.00	\$16,126.00
12/16 201	29219111	LOTP0001USA	1012	\$200.00	\$15,926.00
12/16 202	29219111	LOTP0001USA	1112	\$100.00	\$15,826.00
12/16 203	29219111	LOTP0001USA	1312	\$130.00	\$15,696.00
12/17 21	10905130	CMDP0012USA	1001	\$790.00	\$14,906.00
12/17 22	10905130	CMDP0012USA	8021	\$40.00	\$14,866.00
12/17 23	10905133	ACMP0212USA	1001	\$790.00	\$14,076.00
12/17 24	10905133	ACMP0212USA	8021	\$40.00	\$14,036.00
12/17 61	PAYMENT		9203	-\$26,130.00	\$40,166.00
12/17 67	10905132	MTKP0134USA	8021	\$40.00	\$40,126.00
12/17 171	10905132	MTKP0134USA	1011	\$300.00	\$39,826.00
12/17 172	10905132	MTKP0134USA	1111	\$500.00	\$39,326.00
12/17 173	10905132	MTKP0134USA	1311	\$200.00	\$39,126.00
12/17 174	10905132	MTKP0134USA	1202	\$200.00	\$38,926.00
12/20 4	10064207	HMOPOOO1USA	2202	\$18.00	\$38,908.00
12/20 5	10064207	HMOPOOO1USA	2201	\$44.00	\$38,864.00
12/20 22	10605722	AITP0002USA	2501	\$700.00	\$38,164.00
12/20 23	10605722	AITP0002USA	1504	\$300.00	\$37,864.00
12/20 24	10605679	NTCP0024USA	1501	\$1,400.00	\$36,464.00
✓ 12/20 25	10605679	NTCP0024USA	1504	\$300.00	\$36,164.00
12/20 26	60522906	NAUP0661USA	8021	\$40.00	\$36,124.00
12/20 26	10604833	ADTP0039USA	1501	\$1,400.00	\$34,724.00
12/20 27	10604833	ADTP0039USA	1504	\$300.00	\$34,424.00
12/20 28	10249059	LKSP0008USA	1501	\$1,400.00	\$33,024.00
12/20 29	10463348	ADTP0053USA	1501	\$1,400.00	\$31,624.00
12/20 30	10463348	ADTP0053USA	1504	\$300.00	\$31,324.00
12/20 31	10249121	OTMP0048USA	1501	\$1,400.00	\$29,924.00
12/20 32	10249121	OTMP0048USA	1504	\$300.00	\$29,624.00
12/20 33	10707414	AUOP0004USA	1501	\$1,400.00	\$28,224.00
12/20 34	10707414	AUOP0004USA	1504	\$300.00	\$27,924.00
12/20 251	10904302	ACMP0221USA	8007	\$40.00	\$27,884.00
12/20 252	10711995	ACMP0176USA	8007	\$40.00	\$27,844.00
12/21 1	10604225	ADTP0054USA	1501	\$1,400.00	\$26,444.00
12/21 2	10604225	ADTP0054USA	1504	\$300.00	\$26,144.00
12/21 3	10707418	LKSP0021USA	1501	\$1,400.00	\$24,744.00
12/21 4	10707418	LKSP0021USA	1504	\$300.00	\$24,444.00
12/21 5	10604527	VIAP0037USA	1501	\$1,400.00	\$23,044.00
12/21 6	10604527	VIAP0037USA	1504	\$300.00	\$22,744.00
12/21 7	10707517	NAUP0541USA	1501	\$1,400.00	\$21,344.00
12/21 8	10707517	NAUP0541USA	1504	\$300.00	\$21,044.00
12/21 9	10373830	MR2561-110	2501	\$700.00	\$20,344.00
12/21 10	10373830	MR2561-110	1504	\$300.00	\$20,044.00
12/21 11	09822238	ASTP0009USA	1501	\$1,400.00	\$18,644.00
12/21 12	09822238	ASTP0009USA	1504	\$300.00	\$18,344.00

Attachment 1

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12-20-2004	Issue Fee Payment Verified
12-20-2004	Issue Fee Payment Received
12-02-2004	Receipt into Pubs
10-28-2004	Workflow - File Sent to Contractor
10-07-2004	Mail Notice of Allowance
10-06-2004	Issue Revision Completed
10-06-2004	Notice of Allowance Data Verification Completed
10-06-2004	Case Docketed to Examiner in GAU
10-06-2004	Notice of Allowability
09-14-2004	Date Forwarded to Examiner
09-09-2004	Response after Non-Final Action
09-09-2004	Workflow Incoming amendment IFW
08-19-2004	Mail Non-Final Rejection
08-18-2004	Non-Final Rejection
03-31-2004	Case Docketed to Examiner in GAU
03-31-2004	IFW TSS Processing by Tech Center Complete
03-03-2004	Reference capture on IDS
03-03-2004	Information Disclosure Statement (IDS) Filed
03-15-2004	Application Return from OIPE
03-15-2004	Application Return TO OIPE
03-12-2004	Application Dispatched from OIPE
03-15-2004	Application Is Now Complete
03-03-2004	Additional Application Filing Fees
03-03-2004	Omitted Drawings. Applicant has Petitioned that the Filing Date not be changed and the Petition has
02-10-2004	Notice of Omitted Items
01-13-2004	Cleared by OIPE CSR
10-17-2003	IFW Scan & PACR Auto Security Review
10-17-2003	Initial Exam Team nn

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PATENT & TRADEMARK OFFICE
DIVISION

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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/605,679	
	Filing Date	10/17/2003	
	First Named Inventor	Yi-Nan Chen	
	Art Unit	2818	
	Examiner Name	NHU, DAVID	
Total Number of Pages in This Submission	6	Attorney Docket Number	NTCP0024USA

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. A copy of the deposit account statement 2. A copy of the application data and transaction history
Remarks Request for a refund of publication fee		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corp.		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	JUL 08 2005	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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